



# In-Memory Computation with Spark

## Lecture BigData Analytics

Julian M. Kunkel

julian.kunkel@googlemail.com

University of Hamburg / German Climate Computing Center (DKRZ)

2018-01-19



*Disclaimer: Big Data software is constantly updated, code samples may be outdated.*

# Outline

- 1 Concepts
- 2 Architecture
- 3 Computation
- 4 Managing Jobs
- 5 Examples
- 6 Higher-Level Abstractions
- 7 Summary

# In-Memory Computation/Processing/Analytics [26]

- **In-memory processing:** Processing data stored in memory (database)
- Advantage: No slow I/O necessary  $\Rightarrow$  fast response times
- Disadvantages
  - Data must fit in the memory of the distributed storage/database
  - Additional persistency (with asynchronous flushing) usually required
  - Fault-tolerance is mandatory
- BI-Solution: SAP Hana
- Big data approaches: Apache Spark, Apache Flink

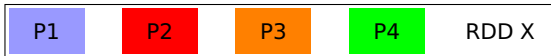
# Overview to Spark [10, 12]

- In-memory **processing** (and **storage**) engine
  - Load data from HDFS, Cassandra, HBase
  - Resource management via. YARN, Mesos, Spark, Amazon EC2
 ⇒ It can use Hadoop but also works standalone!
- Task scheduling and monitoring
- Rich APIs
  - APIs for Java, Scala, Python, R
  - Thrift JDBC/ODBC server for SQL
  - High-level domain-specific tools/languages
    - Advanced APIs simplify typical computation tasks
- Interactive shells with tight integration
  - spark-shell: Scala (object-oriented functional language running on JVM)
  - pyspark: Python
  - sparkR: R (basic support)
- Execution in either local (single node) or cluster mode

# Data Model [13]

- Distributed memory model: Resilient Distributed Datasets (RDDs)

- Named collection of elements distributed in partitions



$X = [1, 2, 3, 4, 5, \dots, 1000]$  distributed into 4 partitions

- Typically a list or a map (key-value pairs)
- An RDD is immutable, e.g., cannot be changed
- High-level APIs provide additional representations
  - e.g., SparkSQL uses DataFrames (aka tables)
- Shared variables offer shared memory access
- Durability of data
  - RDDs live until the SparkContext is terminated
  - To keep them, they need to be persisted (e.g., to HDFS)
- Fault-tolerance is provided by **re-computing** data (if an error occurs)

# Resilient Distributed Datasets (RDDs) [13]

- Creation of an RDD by either
  - Parallelizing an existing collection

```
1 data = [1, 2, 3, 4, 5]
2 rdd = sc.parallelize(data, 5) # create 5 partitions
```

- Referencing a dataset on distributed storage, HDFS, ...

```
1 rdd = sc.textFile("data.txt")
```

- RDDs can be transformed into derived (newly named) RDDs

```
1 rdd2 = rdd.filter( lambda x : (x % 2 == 0) ) # operation: filter odd tuples
```

- Computation runs in parallel on the partitions
- Usually re-computed, but RDD can be kept in memory or stored if large
- RDDs can be redistributed (called shuffle)
- Knows its data lineage (how it was computed)
- Fault-tolerant collection of elements (lists, dictionaries)
  - Split into choosable number of partitions and distributed
  - Derived RDDs can be re-computed by using the recorded lineage

# Shared Variables [13]

- Broadcast variables (for read-only access): transfer to all executors
  - For readability, do not modify the broadcast variable later

```

1 broadcastVar = sc.broadcast([1, 2, 3])
2 print (broadcastVar.value)
3 # [1, 2, 3]
```

- Accumulators (reduce variables): Counters that can be incremented
  - Other data types can be supported:

```

1 accum = sc.accumulator(0) # Integer accumulator
2 accum.add(4)
3
4 # Accumulator for adding vectors:
5 class VectorAccumulatorParam(AccumulatorParam):
6     def zero(self, initialValue):
7         return Vector.zeros(initialValue.size)
8
9     def addInPlace(self, v1, v2):
10        v1 += v2
11        return v1
12 # Create an accumulator
13 vecAccum = sc.accumulator(Vector(...), VectorAccumulatorParam())
```



1 Concepts

**2 Architecture**

3 Computation

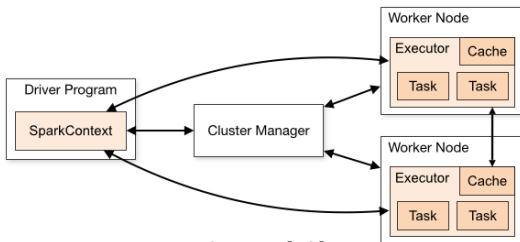
4 Managing Jobs

5 Examples

6 Higher-Level Abstractions

7 Summary

# Execution of Applications [12, 21]



Source: [12]

- Driver program: process runs `main()`, creates/uses `SparkContext`
- Task: A unit of work processed by one executor
- Job: A spark action triggering computation starts a job
- Stage: collection of tasks executing the same code; run concurrently
  - Works independently on partitions without data shuffling
- Executor process: provides slots to runs tasks
  - Isolates apps, thus data cannot be shared between apps
- Cluster manager: allocates cluster resources and runs executor

# Data Processing [13]

- Driver (main program) controls data flow/computation
- Executor processes are spawned on nodes
  - Store and manage RDDs
  - Perform computation (usually on local partition)
- In local mode, only one executor is created

## Execution of code

- 1 The closure is computed: variables/methods needed for execution
- 2 The driver serializes the closure together with the task (code)
  - Broadcast vars are useful as they do not require to be packed with the task
- 3 The driver sends the closure to the executors
- 4 Tasks on the executor run the closure, which manipulates the local data

# Persistence [13]

## Concepts

- The data lineage of an RDD is stored
- Actions trigger computation, no intermediate results are kept
- The methods `cache()` and `persist()` enables preserving of results
  - The first time an RDD is computed, it is then kept for further usage
  - Each executor keeps its local data
  - `cache()` keeps data in memory (level: `MEMORY_ONLY`)
  - `persist()` allows to choose the storage level
- Spark manages the memory cache automatically
  - LRU cache, old RDDs are evicted to secondary storage (or deleted)
  - If an RDD is not in cache, re-computation may be triggered

## Storage levels

- `MEMORY_ONLY`: keep Java objects in memory, or re-compute them
- `MEMORY_AND_DISK`: keep Java objects in memory or store them on disk
- `MEMORY_ONLY_SER`: keep serialized Java objects in memory
- `DISK_ONLY`: store the data only on secondary storage

# Parallelism [13]

- Spark runs one task for each partition of the RDD
- Recommendation: create 2-4 partitions for each CPU
- When creating an RDD default value is set, but can be changed manually

```
1 # Create 10 partitions when the data is distributed
2 sc.parallelize(data, 10)
```

- The number of partitions is inherited from the parent(s) RDD
- Shuffle operations contain the argument *numTasks*
  - Define the number of partitions for the new RDD
- Some actions/transformations contain *numTask*
  - Define the number of reducers
  - By default, 8 parallel tasks for `groupByKey()` and `reduceByKey()`
- Analyze the data partitioning using `glom()`
  - It returns a list with RDD elements for each partition

```
1 # RDD values are 1, 2, 4, 5
2 X.glom().collect()
3 # [[], [ 1, 4 ], [ ], [ 5 ], [ 2 ] ] => here we have 5 partitions for RDD X
```

1 Concepts

2 Architecture

**3 Computation**

4 Managing Jobs

5 Examples

6 Higher-Level Abstractions

7 Summary

# Computation

- Lazy execution: apply operations when results are needed (by actions)
  - Intermediate RDDs can be re-computed multiple times
  - Users can persist RDDs (in-memory or disk) for later use
- Many operations apply user-defined functions or lambda expressions
- Code and **closure** are serialized on the driver and send to executors
  - Note: When using class instance functions, the object is serialized
- RDD partitions are processed in parallel (data parallelism)
  - Use local data where possible

## RDD Operation Types [13]

- **Transformations**: create a new RDD locally by applying operations
- **Actions**: return values to the driver program
- **Shuffle operations**: re-distribute data across executors

# Simple Example

- Example session when using pyspark
- To run with a specific Python version, e.g., use

```
1 PYSARK_PYTHON=python3 pyspark --master yarn-client
```

## Example data-intensive python program

```
1 # Distribute the data: here we have a list of numbers from 1 to 10 million
2 # Store the data in an RDD called nums
3 nums = sc.parallelize( range(1,10000000) )
4
5 # Compute a derived RDD by filtering odd values
6 r1 = nums.filter( lambda x : (x % 2 == 1) )
7
8 # Now compute squares for all remaining values and store key/value tuples
9 result = r1.map( lambda x : (x, x*x*x) )
10
11 # Retrieve all distributed values into the driver and print them
12 # This will actually run the computation
13 print(result.collect())
14 # [(1, 1), (3, 27), (5, 125), (7, 343), (9, 729), (11, 1331), ... ]
15
16 # Store results in memory
17 resultCached = result.cache()
```



# Compute PI [20]

Approach: Randomly throw NUM\_SAMPLES darts on a circle and count hits

## Python

```
1 def sample(p):
2     x, y = random(), random()
3     return 1 if x*x + y*y < 1 else 0
4
5 count = sc.parallelize(xrange(0, NUM_SAMPLES)).map(sample).reduce(lambda a, b: a + b)
6 print "Pi is roughly %f" % (4.0 * count / NUM_SAMPLES)
```

## Java

```
1 int count = spark.parallelize(makeRange(1, NUM_SAMPLES)).filter(
2     new Function<Integer, Boolean>() {
3     public Boolean call(Integer i) {
4         double x = Math.random();
5         double y = Math.random();
6         return x*x + y*y < 1;
7     }
8 }).count();
9 System.out.println("Pi is roughly " + 4 * count / NUM_SAMPLES);
```

# Transformations Create a New RDD [13]

## All RDDs support

- `map(func)`: pass each element through `func`
- `filter(func)`: include those elements for which `func` returns true
- `flatMap(func)`: similar to `map`, but `func` returns a list of elements
- `mapPartitions(func)`: like `map` but runs on each partition independently
- `sample(withReplacement, fraction, seed)`: pick a random fraction of data
- `union(otherDataset)`: combine two datasets
- `intersection(otherDataset)`: set that contains only elements in both sets
- `distinct([numTasks])`: returns unique elements
- `cartesian(otherDataset)`: returns all pairs of elements
- `pipe(command, [envVars])`: pipe all partitions through a program

*Remember: Transformations return a lazy reference to a new dataset*

# Transformations Create a New RDD [13]

## Key/Value RDDs additionally support

- `groupByKey([numTasks])`: combines values of identical keys in a list
- `reduceByKey(func, [numTasks])`: aggregate all values of each key
- `aggregateByKey(zeroValue, seqOp, combOp, [numTasks])`: aggregates values for keys, uses neutral element
- `sortByKey([ascending], [numTasks])`: order the dataset
- `join(otherDataset, [numTasks])`: pairs (K,V) elements with (K,U) and returns (K, (V,U))
- `cogroup(otherDataset, [numTasks])`: returns (K, iterableV, iterableU)

# Actions: Perform I/O or return data to the driver [13]

- `reduce(func)`: aggregates elements using:  $func(x, y) \Rightarrow z$ 
  - Func should be commutative and associative
- `count()`: number of RDD elements
- `countByKey()`: for K/V, returns hashmap with count for each key
- `foreach(func)`: run the function on each element of the dataset
  - Useful to update an accumulator or interact with storage
- `collect()`: returns the complete dataset to the driver
- `first()`: first element of the dataset
- `take(n)`: array with the first n elements
- `takeSample(withReplacement, num, [seed])`: return random array
- `takeOrdered(n, [comparator])`: first elements according to an order
- `saveAsTextFile(path)`: convert elements to string and write to a file
- `saveAsSequenceFile(path)`: ...
- `saveAsObjectFile(path)`: uses Java serialization

# Shuffle [13]

## Concepts

- Repartitions the RDD across the executors
- Costly operation (requires all-to-all)
- May be triggered implicitly by operations or can be enforced
- Requires network communication
- The number of partitions can be set

## Operations

- `repartition(numPartitions)`: reshuffle the data randomly into partitions
- `coalesce(numPartitions)`: decrease the number of partitions<sup>1</sup>
- `repartitionAndSortWithinPartitions(partitioner)`: repartition according to the partitioner, then sort each partition locally<sup>1</sup>

---

<sup>1</sup>More efficient than `repartition()`

# Typical Mistakes [13]

## Use local variables in distributed memory

```
1 counter = 0
2 rdd = sc.parallelize(data)
3
4 # Wrong: since counter is a local variable, it is updated in each JVM
5 # Thus, each executor yields another result
6 rdd.foreach(lambda x: counter += x)
7 print("Counter value: " + counter)
```

## Object serialization may be unexpected (and slow)

```
1 class MyClass(object):
2     def func(self, s):
3         return s
4     def doStuff(self, rdd):
5         # Run method in parallel but requires to serialize MyClass with its members
6         return rdd.map(self.func)
```

## Writing to STDOUT/ERR on executors

```
1 # This will call println() on each element
2 # However, the executors' stdout is not redirected to the driver
3 rdd.foreach(println)
```

1 Concepts

2 Architecture

3 Computation

**4 Managing Jobs**

5 Examples

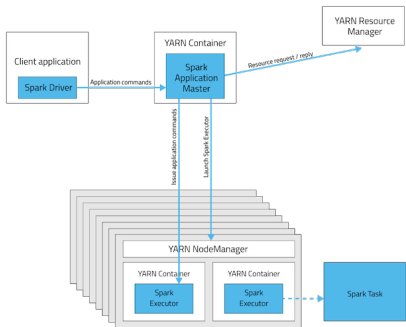
6 Higher-Level Abstractions

7 Summary

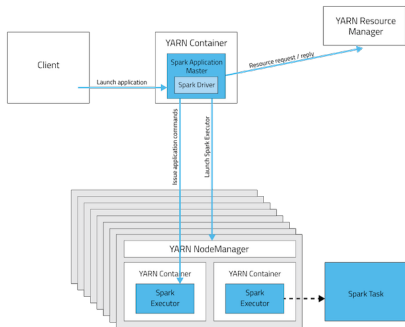
# Using YARN with Spark [18, 19]

- Two alternative deployment modes: cluster and client
- Interactive shells/driver requires client mode
- Spark dynamically allocates the number of executors based on the load
  - Set num-executors manually to disable this feature

```
1 PYSPARK_PYTHON=python3 pyspark --master yarn-client --driver-memory 4g  
  ↳ --executor-memory 4g --num-executors 5 --executor-cores 24 --conf  
  ↳ spark.ui.port=4711
```



Client mode, Source: [18]



Cluster mode, Source: [18]



# Batch Applications

- Submit batch applications via `spark-submit`
- Supports JARs (Scala or Java)
- Supports Python code
- To query results check output (tracking URL)
- Build self-contained Spark applications (see [24])

```
1 spark-submit --master <master-URL> --class <MAIN> # for Java/Scala Applications
2   --conf <key>=<value> --py-files x,y,z # Add files to the PYTHONPATH
3   --jars <(hdfs|http|file|local)>://<FILE> # provide JARs to the classpath
4   <APPLICATION> [APPLICATION ARGUMENTS]
```

## Examples for Python and Java

```
1 SPARK=/usr/hdp/2.3.2.0-2950/spark/
2 PYSPARK_PYTHON=python3 spark-submit --master yarn-cluster --driver-memory 4g
   ↪ --executor-memory 4g --num-executors 5 --executor-cores 24
   ↪ $SPARK/examples/src/main/python/pi.py 120
3 # One minute later, output in YARN log: "Pi is roughly 3.144135"
4
5 spark-submit --master yarn-cluster --driver-memory 4g --executor-memory 4g
   ↪ --num-executors 5 --executor-cores 24 --class
   ↪ org.apache.spark.examples.JavaSparkPi $SPARK/lib/spark-examples-*.jar 120
```

# Web UI

- Sophisticated analysis of performance issues
- Monitoring features
  - Running/previous jobs
  - Details for job execution
  - Storage usage (cached RDDs)
  - Environment variables
  - Details about executors
- Started automatically when a Spark shell is run
  - On our system available on Port 4040<sup>2</sup>
- Creates web-pages in YARN UI
  - While running automatically redirects from 4040 to the YARN UI
  - Historic data visit “tracking URL” in YARN UI
- Spark history-server keeps the data of previous jobs

---

<sup>2</sup>Change it by adding `-conf spark.ui.port=PORT` to, e.g., `pyspark`.

# Web UI: Jobs



Jobs

Stages

Storage

Environment

Executors

PySparkShell application UI

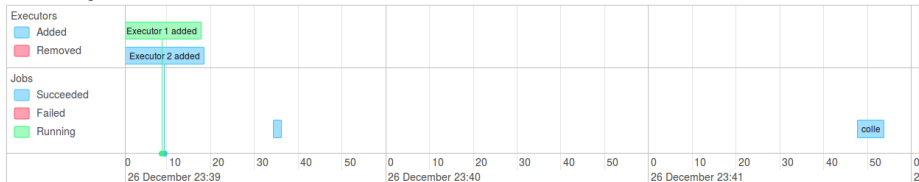
## Spark Jobs (?)

Total Uptime: 4.3 min

Scheduling Mode: FIFO

Completed Jobs: 2

Event Timeline

 Enable zooming

## Completed Jobs (2)

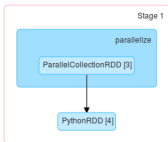
Job Id	Description	Submitted	Duration	Stages: Succeeded/Total	Tasks (for all stages): Succeeded/Total
1	collect at <stdin>:3	2015/12/26 23:41:47	6 s	1/1	2/2
0	collect at <stdin>:3	2015/12/26 23:39:34	1 s	1/1	2/2

# Web UI: Stages

## Details for Stage 1 (Attempt 0)

Total Time Across All Tasks: 6 s

▼ DAG Visualization



▼ Show Additional Metrics

- (De)select All
- Scheduler Delay
- Task Deserialization Time
- Result Serialization Time
- Getting Result Time

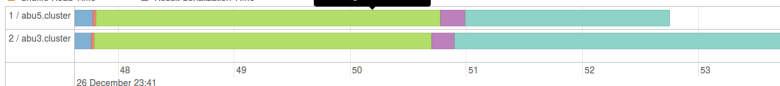
▼ Event Timeline

Enable zooming

- Scheduler Delay
- Task Deserialization Time
- Executor Computing Time
- Shuffle Write Time
- Shuffle Read Time
- Result Serialization Time
- Getting Result Time

```

Task 1 (attempt 0)
Status: SUCCESS
Launch Time: 2015/12/26 23:41:47
Finish Time: 2015/12/26 23:41:52
Scheduler Delay: 157 ms
Task Deserialization Time: 24 ms
Shuffle Read Time: 0 ms
Executor Computing Time: 3 s
Shuffle Write Time: 0 ms
Result Serialization Time: 0.2 s
Getting Result Time: 2 s
  
```



### Summary Metrics for 2 Completed Tasks

Metric	Min	25th percentile	Median	75th percentile	Max
Duration	3 s	3 s	3 s	3 s	3 s

# Web UI: Stages' Metrics

## Summary Metrics for 2 Completed Tasks

Metric	Min	25th percentile	Median	75th percentile	Max
Duration	3 s	3 s	3 s	3 s	3 s
Scheduler Delay	0 ms	0 ms	0 ms	0 ms	0 ms
Task Deserialization Time	22 ms	22 ms	24 ms	24 ms	24 ms
GC Time	71 ms	71 ms	74 ms	74 ms	74 ms
Result Serialization Time	0.2 s	0.2 s	0.2 s	0.2 s	0.2 s
Getting Result Time	2 s	2 s	3 s	3 s	3 s

## Aggregated Metrics by Executor

Executor ID	Address	Task Time	Total Tasks	Failed Tasks	Succeeded Tasks
1	abu5.cluster:56484	5 s	1	0	1
2	abu3.cluster:34220	6 s	1	0	1

## Tasks

Index	ID	Attempt	Status	Locality Level	Executor ID / Host	Launch Time	Duration	Scheduler Delay	Task Deserialization Time	GC Time	Result Serialization Time	Getting Result Time	Errors
0	2	0	SUCCESS	PROCESS_LOCAL	2 / abu3.cluster	2015/12/26 23:41:47	3 s	0 ms	22 ms	71 ms	0.2 s	3 s	
1	3	0	SUCCESS	PROCESS_LOCAL	1 / abu5.cluster	2015/12/26 23:41:47	3 s	0 ms	24 ms	74 ms	0.2 s	2 s	

# Web UI: Storage


Jobs
Stages
Storage
Environment
Executors
PySparkShell application UI

## Storage

RDD Name	Storage Level	Cached Partitions	Fraction Cached	Size in Memory	Size in ExternalBlockStore	Size on Disk
PythonRDD	Memory Serialized 1x Replicated	1	50%	19.0 MB	0.0 B	0.0 B

## Overview

### RDD Storage Info for PythonRDD

**Storage Level:** Memory Serialized 1x Replicated

**Cached Partitions:** 1

**Total Partitions:** 2

**Memory Size:** 19.0 MB

**Disk Size:** 0.0 B

### Data Distribution on 3 Executors

Host	Memory Usage	Disk Usage
abu3.cluster:34220	0.0 B (530.0 MB Remaining)	0.0 B
10.0.0.61:45120	0.0 B (265.1 MB Remaining)	0.0 B
abu5.cluster:56484	19.0 MB (511.0 MB Remaining)	0.0 B

### 1 Partitions

Block Name	Storage Level	Size in Memory	Size on Disk	Executors
rdd_7_0	Memory Serialized 1x Replicated	19.0 MB	0.0 B	abu5.cluster:56484

## RDD details

# Web UI: Environment Variables



Jobs

Stages

Storage

Environment

Executors

## Environment

### Runtime Information

Name	Value
Java Home	/usr/jdk64/jdk1.8.0_40/jre
Java Version	1.8.0_40 (Oracle Corporation)
Scala Version	version 2.10.4

### Spark Properties

Name	Value
spark_app.id	local-1448289108772
spark_app.name	Spark shell
spark_driver.extraJavaOptions	-Dhdp.version=2.3.2.0-2950
spark_driver.host	10.0.0.61
spark_driver.port	45900
spark_executor.id	driver
spark_externalBlockStore.folderName	spark-160232fa-3a9f-447e-a1ca-7a4bea06efc1
spark_fileserver.uri	http://10.0.0.61:50693
spark_history.kerberos.keytab	none
spark_history.kerberos.principal	none
spark_history.provider	org.apache.spark.deploy.yarn.history.YarnHistoryProvider
spark_history.ui.port	18080
spark_jars	<b><u>WARNING we run in local mode not cluster (or yarn)!</u></b>
spark_master	local[*] <b><u>WARNING we run in local mode not cluster (or yarn)!</u></b>
spark_repl.class.uri	http://10.0.0.61:36514
spark_scheduler.mode	FIFO

# Web UI: Executors



1.4.1

Jobs

Stages

Storage

Environment

Executors

PySparkShell application UI

## Executors (3)

Memory: 19.0 MB Used (1325.2 MB Total)

Disk: 0.0 B Used

Executor ID	Address	RDD Blocks	Storage Memory	Disk Used	Active Tasks	Failed Tasks	Complete Tasks	Total Tasks	Task Time	Input	Shuffle Read	Shuffle Write	Logs	Thread Dump
1	abu5.cluster:56484	1	19.0 MB / 530.0 MB	0.0 B	0	0	3	3	6.8 s	0.0 B	0.0 B	0.0 B	<a href="#">stdout</a> <a href="#">stderr</a>	<a href="#">Thread Dump</a>
2	abu3.cluster:34220	0	0.0 B / 530.0 MB	0.0 B	0	0	2	2	7.1 s	0.0 B	0.0 B	0.0 B	<a href="#">stdout</a> <a href="#">stderr</a>	<a href="#">Thread Dump</a>
driver	10.0.0.61:45120	0	0.0 B / 265.1 MB	0.0 B	0	0	0	0	0 ms	0.0 B	0.0 B	0.0 B		<a href="#">Thread Dump</a>



- 1 Concepts
- 2 Architecture
- 3 Computation
- 4 Managing Jobs
- 5 Examples**
- 6 Higher-Level Abstractions
- 7 Summary

# Code Examples for our Student/Lecture Data

## Preparing the data and some simple operations

```

1 from datetime import datetime
2 # Goal load student data from our CSV, we'll use primitive parsing that cannot handle escaped text
3 # We are just using tuples here without schema. split() returns an array
4 s = sc.textFile("stud.csv").map(lambda line: line.split(",")).filter(lambda line: len(line)>1)
5 l = sc.textFile("lecture.csv").map(lambda line: line.split(";")).filter(lambda line: len(line)>1)
6 print(l.take(10))
7 # [[u'1', u'Big Data', u'{{(22),(23)}}'], [u'2', u'Hochleistungsrechnen', u'{{(22)}}']]
8 l.saveAsTextFile("output.csv") # returns a directory with each partition
9
10 # Now convert lines into tuples, create lectures with a set of attending students
11 l = l.map(lambda t: ((int) (t[0]), t[1], eval(t[2]))) # eval interprets the text as python code
12 s = [(1, u"Big Data", set([22, 23])), (2, u"Hochleistungsrechnen", set([22]))]
13
14 # Convert students into proper data types
15 s = s.map(lambda t: ((int) (t[0]), t[1], t[2], t[3].upper() == "TRUE", datetime.strptime(t[4], "%Y-%m-%d") ) )
16 # (22, u"Fritz", u"Musterman", False, datetime.datetime(2000, 1, 1, 0, 0))...
17
18 # Identify how the rows are distributed
19 print(s.map(lambda x: x[0]).glom().collect())
20 # [[22], [23]] => each student is stored in its own partition
21
22 # Stream all tokens as text through cat, each token is input separately
23 m = l.pipe("/bin/cat")
24 # ['(1, u"Big Data", set([22, 23])', '(2, u"Hochleistungsrechnen", set([22]))']
25
26 # Create a key/value RDD
27 # Student ID to data
28 skv = s.map(lambda l: (l[0], (l[1],l[2],l[3],l[4])))
29 # Lecture ID to data
30 lkv = l.map(lambda l: (l[0], (l[1], l[2])))

```

# Code Examples for our Student/Lecture Data

- Was the code on the slide before a bit hard to read?
- Better to document tuple format input/output or use pipe diagrams!

Goal: Identify all lectures a student attends (now with comments)

```
1 # s = [(id, firstname, lastname, female, birth), ...]
2 # l = [(id, name, [attendee student id]), ...]
3 sl = l.flatMap(lambda l: [ (s, l[0]) for s in l[2] ] ) # can return 0 or more tuples
4 # sl = [ (student id, lecture id) ] = [(22, 1), (23, 1), (22, 2)]
5 # sl is now a key/value RDD.
6
7 # Find all lectures a student attends
8 lsa = sl.groupByKey() # lsa = [ (student id, [lecture id] ) ]
9
10 # print student and attending lectures
11 for (stud, attends) in lsa.collect():
12     print("%d : %s" %(stud, [ str(a) for a in attends ] ))
13 # 22 : ['1', '2']
14 # 23 : ['1']
15
16 # Use a join by the key to identify the students' data
17 j = lsa.join(skv) # join (student id, [lecture id]) with [(id, (firstname, lastname, female, birth)), ...]
18 for (stud, (attends, studdata)) in j.collect():
19     print("%d: %s %s : %s" %(stud, studdata[0], studdata[1], [ str(a) for a in attends ] ))
20 22: "Fritz" "Musterman" : ['1', '2']
21 23: "Nina" "Musterfrau" : ['1']
```

# Code Examples for our Student/Lecture Data

## Compute the average age of students

```
1 # Approach: initialize a tuple with (age, 1) and reduce it (age1, count1) + (age2, age2) = (age1+age2, count1+count2)
2 cur = datetime.now()
3 # We again combine multiple operations in one line
4 # The transformations are executed when calling reduce
5 age = s.map( lambda x: ( (cur - x[4]).days, 1) ).reduce( lambda x, y: (x[0]+y[0], x[1]+y[1]) )
6 print(age)
7 # (11478, 2) => total age in days of all people, 2 people
8
9 # Alternative via a shared variable
10 ageSum = sc.accumulator(0)
11 peopleSum = sc.accumulator(0)
12
13 # We define a function to manipulate the shared variable
14 def increment(age):
15     ageSum.add(age)
16     peopleSum.add(1)
17
18 # Determine age, then apply the function to manipulate shared vars
19 s.map( lambda x: (cur - x[4]).days ).foreach( increment )
20 print("(%, %s): avg %.2f" % (ageSum, peopleSum, ageSum.value/365.0/peopleSum.value))
21 # (11480, 2): avg 15.73
```

- 1 Concepts
- 2 Architecture
- 3 Computation
- 4 Managing Jobs
- 5 Examples
- 6 Higher-Level Abstractions**
- 7 Summary

# Spark 2.0 Data Structures [28, 29]

## RDD (Spark 1 + Spark 2)

- Provide low-level access / transformations
- No structure on data imposed – just some bag of tuples

## DataFrames extending RDDs

- Imposes a schema on tuples but tuples remain untyped
- Like a table / relational database
- Additional higher-level semantics / operators, e.g., aggregation
- Since embedded: these operators extract better performance

## Datasets [28] (Spark 2 only)

- Offer strongly-typed and untyped API
- Converts tuples individually into classes with efficient encoders
- Compile time checks for datatypes (not for Python)

# Higher-Level Abstractions

- Spark SQL: deal with relational tables
  - Access JDBC, hive tables or temporary tables
  - Limitations: no UPDATE statements, INSERT only for Parquet files
  - Spark SQL engine offers **Catalyst** optimizer for datasets/dataframes
- GraphX: graph processing [15] (no Python API so far)
- Spark Streaming [16]
  - Discretized streams accept data from sources
    - TCP stream, file, Kafka, Flume, Kinesis, Twitter
  - Some support for executing SQL and MLlib on streaming data
- MLlib: Machine Learning Library
  - Provides efficient algorithms for several ML tasks

# Spark SQL Overview [14]

- New data structures: DataFrame representing a table with rows
  - Spark 1.X name: SchemaRDD
- RDDs can be converted to Dataframes
  - Either create a schema manually or infer the data types
- Tables can be file-backed and integrated into Hive
  - Self-describing Parquet files also store the schema
  - Use `cacheTable(NAME)` to load a table into memory
- Thrift JDBC server (similar to Hives JDBC)
- SQL-DSL: Language-Integrated queries
- Access via `HiveContext` (earlier `SQLContext`) class
  - `HiveContext` provides
    - Better Hive compatible SQL than `SQLContext`
    - User-defined functions (UDFs)
  - There are some (annoying) restrictions to HiveQL



# Creating an In-memory Table from an RDD

```

1 # Create a table from an array using the column names value, key
2 # The data types of the columns are automatically inferred
3 r = sqlContext.createDataFrame([('test', 10), ('data', 11)], ["value", "key"])
4
5 # Alternative: create/use an RDD
6 rdd = sc.parallelize(range(1,10)).map(lambda x : (x, str(x)) )
7
8 # Create the table from the RDD using the columnnames given, here "key" / "value"
9 schema = sqlContext.createDataFrame(rdd, ["key", "value"])
10 schema.printSchema()
11
12 # Register table for use with SQL, we use a temporary table,
13 # so the table is NOT visible in Hive
14 schema.registerTempTable("nums")
15
16 # Now you can run SQL queries
17 res = sqlContext.sql("SELECT * from nums")
18
19 # res is an DataFrame that uses columns according to the schema
20 print( res.collect() )
21 # [Row(key=1, value='1'), Row(key=2, value='2'), ... ]
22
23 # Save results as a table for Hive
24 from pyspark.sql import DataFrameWriter
25 dw = DataFrameWriter(res)
26 dw.saveAsTable("data")

```

# Manage Hive Tables via SQL

```

1 # When using an SQL statement to create the table, the table is visible in HCatalog!
2 p = sqlContext.sql("CREATE TABLE IF NOT EXISTS data (key INT, value STRING)")
3
4 # Bulk loading data by appending it to the table data (if it existed)
5 sqlContext.sql("LOAD DATA LOCAL INPATH 'data.txt' INTO TABLE data")
6
7 # The result of a SQL query is a DataFrame, an RDD of rows
8 rdd = sqlContext.sql("SELECT * from data")
9
10 # Treat RDD as a SchemaRDD, access row members using the column name
11 o = rdd.map(lambda x: x.key) # Access the column by name, here "key"
12 # To print the distributed values they have to be collected.
13 print(o.collect())
14
15 sqlContext.cacheTable("data") # Cache the table in memory
16
17 # Save as Text file/directory into the local file system
18 dw.json("data.json", mode="overwrite")
19 # e.g., {"key":10,"value":"test"}
20
21 sqlContext.sql("DROP TABLE data") # Remove the table

```

# Language Integrated DSL

- Methods allow to formulate SQL queries
  - See `help(pyspark.sql.dataframe.DataFrame)` for details
- Applies lazy evaluation

```
1 from pyspark.sql import functions as F
2
3 # Run a select query and visualize the results
4 rdd.select(rdd.key, rdd.value).show()
5 # |key|          value|
6 # +---+-----+
7 # | 10|          test|
8 # | 11|          data|
9 # | 12|          fritz|
10
11 # Return the rows where value == 'test'
12 rdd.where(rdd.value == 'test').collect()
13 # Print the lines from rdd where the key is bigger than 10
14 rdd.filter(rdd['key'] > 10).show() # rdd[X] access the column X
15
16 # Aggregate/Reduce values by the key
17 rdd.groupBy().avg().collect() # average(key)=11
18 # Similar call, short for groupBy().agg()
19 rdd.agg({"key": "avg"}).collect()
20 # Identical result for the aggregation with different notation
21 rdd.agg(F.avg(rdd.key)).collect()
```

# Code Examples for our Student/Lecture Data

## Convert the student RDDs to a Hive table and perform queries

```
1 from pyspark.sql import HiveContext, Row
2
3 sqlContext = HiveContext(sc)
4 # Manually convert lines to a Row (could be done automatically)
5 sdf = s.map(lambda l: Row(matrikel=l[0], firstname=l[1], lastname=l[2], female=l[3], birthday=l[4]))
6 # infer the schema and create a table (SchemaRDD) from the data (inferSchema is deprecated but shows the idea)
7 schemaStudents = sqlContext.inferSchema(sdf)
8 schemaStudents.printSchema()
9 # birthday: timestamp (nullable = true), female: boolean (nullable = true), ...
10 schemaStudents.registerTempTable("student")
11
12 females = sqlContext.sql("SELECT firstname FROM student WHERE female == TRUE")
13 print(females.collect()) # print data
14 # [Row(firstname=u'Nina')]
15
16 ldf = l.map(lambda l: Row(id=l[0], name=l[1]))
17 schemaLecture = sqlContext.inferSchema(ldf)
18 schemaLecture.registerTempTable("lectures")
19
20 # Create student-lecture relation
21 slr = l.flatMap(lambda l: [ Row(lid=l[0], matrikel=s) for s in l[2] ] )
22 schemaStudLec = sqlContext.inferSchema(slr)
23 schemaStudLec.registerTempTable("studlec")
24
25 # Print student name and all attended lectures' names, collect_set() bags grouped items together
26 sat = sqlContext.sql("SELECT s.firstname, s.lastname, s.matrikel, collect_set(l.name) as lecs FROM studlec sl JOIN student s
    ↳ ON sl.matrikel=s.matrikel JOIN lectures l ON sl.lid=l.id GROUP BY s.firstname, s.lastname, s.matrikel ")
27 print(sat.collect()) # [Row(firstname=u'Nina', lastname=u'Musterfrau F.', matrikel=23, lecs=[u'Big Data']),
    ↳ Row(firstname=u'Fritz', lastname=u'Musterman M.', matrikel=22, lecs=[u'Big Data',
    ↳ u'Hochleistungsrechnen'])]
```

# Code Examples for our Student/Lecture Data

## Storing tables as Parquet files

```
1 # Saved dataframe as Parquet files keeping schema information.
2 # Note: DateTime is not supported, yet
3 schemaLecture.saveAsParquetFile("lecture-parquet")
4
5 # Read in the Parquet file created above. Parquet files are self-describing so the
6   ↪ schema is preserved.
7 # The result of loading a parquet file is also a DataFrame.
8 lectureFromFile = sqlContext.parquetFile("lecture-parquet")
9 # Register Parquet file as lFromFile
10 lectureFromFile.registerTempTable("lFromFile");
11
12 # Now it supports bulk insert (we insert again all lectures)
13 sqlContext.sql("INSERT INTO TABLE lFromFile SELECT * from lectures")
14 # Not supported INSERT: sqlContext.sql("INSERT INTO lFromFile VALUES(3, 'Neue
15   ↪ Vorlesung', {}))")
```

# Dealing with JSON Files

## Table (SchemaRDD) rows' can be converted to/from JSON

```
1 # store each row as JSON
2 schemaLecture.toJSON().saveAsTextFile("lecture-json")
3 # load JSON
4 ljson = sqlContext.jsonFile("lecture-json")
5 # now register JSON as table
6 ljson.registerTempTable("ljson")
7 # perform SQL queries
8 sqlContext.sql("SELECT * FROM ljson").collect()
9
10 # Create lectures from a JSON snippet with one column as semi-structured JSON
11 lectureNew = sc.parallelize(['{"id":4,"name":"New lecture", "otherInfo":{"url":"http://xy", "mailingList":"xy", "lecturer":
    ↳ ["p1", "p2", "p3"]}]', '{"id":5,"name":"New lecture 2", "otherInfo":{}}'])
12 lNewSchema = sqlContext.jsonRDD(lectureNew)
13 lNewSchema.registerTempTable("lnew")
14
15 # Spark natively understands nested JSON fields and can access them
16 sqlContext.sql("SELECT otherInfo.mailingList FROM lnew").collect()
17 # [Row(mailingList=u'xy'), Row(mailingList=None)]
18 sqlContext.sql("SELECT otherInfo.lecturer[2] FROM lnew").collect()
19 # [Row(_c0=u'p3'), Row(_c0=None)]
```

# MLlib: Machine Learning Library [22]

- Provides many useful algorithms, some in streaming versions
- Supports many existing data types from other packages
  - Supports Numpy, SciPy (MLlib also adds new types)

## Subset of provided algorithms

- Statistics
  - Descriptive statistics, hypothesis testing, random data generation
- Classification and regression
  - Linear models, Decision trees, Naive Bayes
- Clustering
  - k-means
- Frequent pattern mining
  - Association rules
- Higher-level APIs for complex pipelines
  - Feature extraction, transformation and selection
  - Classification and regression trees
  - Multilayer perceptron classifier

# Descriptive Statistics [22]

```
1 from pyspark.mllib.stat import Statistics as s
2 import math
3
4 # Create RDD with 4 columns
5 rdd = sc.parallelize( range(1,100) ).map( lambda x : [x, math.sin(x), x*x, x/100] )
6 sum = s.colStats(rdd) # determine column statistics
7 print(sum.mean()) # [ 5.00e+01  3.83024876e-03  3.31666667e+03  5.00e-01]
8 print(sum.variance()) # [ 8.25e+02  5.10311520e-01  8.788835e+06  8.25e-02]
9
10 x = sc.parallelize( range(1,100) ) # create a simple data set
11 y = x.map( lambda x: x / 10 + 0.5)
12 # Determine Pearson correlation
13 print(s.corr(x, y, method="pearson")) # Correlation 1.0000000000000002
14
15 # Create a random RDD with 100000 elements
16 from pyspark.mllib.random import RandomRDDs
17 u = RandomRDDs.uniformRDD(sc, 100000)
18
19 # Estimate kernel density
20 from pyspark.mllib.stat import KernelDensity
21 kd = KernelDensity()
22 kd.setSample(u)
23 kd.setBandwidth(1.0)
24 # Estimate density for the given values
25 densities = kd.estimate( [0.2, 0, 4] )
```



# Linear Models [23]

```

1 from pyspark.mllib.regression import LabeledPoint, LinearRegressionWithSGD, LinearRegressionModel
2 import random
3
4 # Three features (x, y, label = x + 2*y + small random Value)
5 x = [ random.uniform(1,100) for y in range(1, 10000)]
6 x.sort()
7 y = [ random.uniform(1,100) for y in range(1, 10000)]
8 # LabeledPoint identifies the result variable
9 raw = [ LabeledPoint(i+j+random.gauss(0,4), [i/100, j/200]) for (i,j) in zip(x, y) ]
10 data = sc.parallelize( raw )
11
12 # Build the model using maximum of 10 iterations with stochastic gradient descent
13 model = LinearRegressionWithSGD.train(data, 10)
14
15 print(model.intercept)
16 # 0.0
17 print(model.weights)
18 #[110.908004953,188.96464824] => we expect [100, 200]
19
20 # Validate the model with the original training data
21 vp = data.map(lambda p: (p.label, model.predict(p.features)))
22
23 # Error metrics
24 abserror = vp.map(lambda p: abs(p[0] - p[1])).reduce(lambda x, y: x + y) / vp.count()
25 error = vp.map(lambda p: abs(p[0] - p[1]) / p[0]).reduce(lambda x, y: x + y) / vp.count()
26 MSE = vp.map(lambda p: (p[0] - p[1])**2).reduce(lambda x, y: x + y) / vp.count()
27
28 print("Abs error: %.2f" % (abserror)) # 4.41
29 print("Rel. error: %.2f%%" % (error * 100)) # 5.53%
30 print("Mean Squared Error: %.2f" % (MSE))
31
32 # Save / load the model
33 model.save(sc, "myModelPath")
34 model = LinearRegressionModel.load(sc, "myModelPath")

```

# Clustering [25]

```
1 # Clustering with k-means is very simple for N-Dimensional data
2 from pyspark.mllib.clustering import KMeans, KMeansModel
3 import random as r
4 from numpy import array
5
6 # Create 3 clusters in 2D at (10,10), (50,30) and (70,70)
7 x = [ [r.gauss(10,4), r.gauss(10,2)] for y in range(1, 100) ]
8 x.extend( [r.gauss(50,5), r.gauss(30,3)] for y in range(1, 900) )
9 x.extend( [r.gauss(70,5), r.gauss(70,8)] for y in range(1, 500) )
10 x = [ array(x) for x in x ]
11
12 data = sc.parallelize(x)
13
14 # Apply k-means
15 clusters = KMeans.train(data, 3, maxIterations=10, runs=10, initializationMode="random")
16
17 print(clusters.clusterCenters)
18 # [array([ 70.42953058,  69.88289475]),
19 #  array([ 10.57839294,   9.92010409]),
20 #  array([ 49.72193422,  30.15358142])]
21
22 # Save/load model
23 clusters.save(sc, "myModelPath")
24 sameModel = KMeansModel.load(sc, "myModelPath")
```

# Decision Trees [25]

```
1 # Decision trees operate on tables and don't use LabeledPoint ...
2 # They offer the concept of a pipeline to preprocess data in RDD
3
4 from pyspark.mllib.linalg import Vectors
5 from pyspark.sql import Row
6 from pyspark.ml.classification import DecisionTreeClassifier
7 from pyspark.ml.feature import StringIndexer
8 from pyspark.ml import Pipeline
9 from pyspark.ml.evaluation import BinaryClassificationEvaluator
10 import random as r
11
12 # We create a new random dataset but now with some overlap
13 x = [ ("blue", [r.gauss(10,4), r.gauss(10,2)]) for y in range(1, 100) ]
14 x.extend( ("red", [r.gauss(50,5), r.gauss(30,3)]) for y in range(1, 900) )
15 x.extend( ("yellow", [r.gauss(70,15), r.gauss(70,25)]) for y in range(1, 500) ) # Class red and yellow may overlap
16
17 data = sc.parallelize(x).map(lambda x: (x[0], Vectors.dense(x[1])))
18 # The data frame is expected to contain exactly the specified two columns
19 dataset = sqlContext.createDataFrame(data, ["label", "features"])
20
21 # Create a numeric index from string label categories, this is mandatory!
22 labelIndexer = StringIndexer(inputCol="label", outputCol="indexedLabel").fit(dataset)
23
24 # Our decision tree
25 dt = DecisionTreeClassifier(featuresCol='features', labelCol='indexedLabel', predictionCol='prediction', maxDepth=5)
26
27 # Split data into 70% training set and 30% validation set
28 (trainingData, validationData) = dataset.randomSplit([0.7, 0.3])
29
30 # Create a pipeline which processes dataframes and run it to create the model
31 pipeline = Pipeline(stages=[labelIndexer, dt])
32 model = pipeline.fit(trainingData)
```

# Decision Trees – Validation [25]

```
1 # Perform the validation on our validation data
2 predictions = model.transform(validationData)
3
4 # Pick some rows to display.
5 predictions.select("prediction", "indexedLabel", "features").show(2)
6 # +-----+-----+-----+
7 # |prediction|indexedLabel|           features|
8 # +-----+-----+-----+
9 # |      2.0|          2.0|[11.4688967071571...|
10 # |      2.0|          2.0|[10.8286615821145...|
11 # +-----+-----+-----+
12
13 # Compute confusion matrix using inline SQL
14 predictions.select("prediction", "indexedLabel").groupBy(["prediction",
15     ↪ "indexedLabel"]).count().show()
16 # +-----+-----+-----+
17 # |prediction|indexedLabel|count|
18 # +-----+-----+-----+
19 # |      2.0|          2.0|   69| <= correct
20 # |      1.0|          1.0|  343| <= correct
21 # |      0.0|          0.0|  615| <= correct
22 # |      0.0|          1.0|   12| <= too much overlap, thus wrong
23 # |      1.0|          0.0|    5| <= too much overlap, thus wrong
24 # +-----+-----+-----+
25 # There are also classes for performing automatic validation
```

# Integration into R

## Integrated R shell: sparkR

### Features

- Store/retrieve data frames in/from Spark
- In-memory SQL and access to HDFS data and Hive tables
- Provides functions to: (lazily) access/derive data and ML-algorithms
- Enables (lazy) parallelism in R!

```
1 # Creating a DataFrame from the iris (plant) data
2 df = as.DataFrame(data=iris, sqlContext=sqlContext)
3 # Register it as table to enable SQL queries
4 registerTempTable(df, "iris")
5 # Run an SQL query
6 d = sql(sqlContext, "SELECT Species FROM iris WHERE Sepal.Length >= 1 AND Sepal.Width <= 19")
7
8 # Compute the number of instances for each species using a reduction
9 x = summarize(groupBy(df, df$Species), count = n(df$Species))
10 head(x) # Returns the three species with 50 instances
11
12 # Retrieving a Spark DataFrame and converting it into a regular (R) data frame
13 s = as.data.frame(d)
14 summary(s)
```

# Summary

- Spark is an in-memory processing and storage engine
  - It is based on the concept of RDDs
  - An RDD is an immutable list of tuples (or a key/value tuple)
  - Computation is programmed by transforming RDDs
- Data is distributed by partitioning an RDD / DataFrame / DataSet
  - Computation of transformations is done on local partitions
  - Shuffle operations change the mapping and require communication
  - Actions return data to the driver or perform I/O
- Fault-tolerance is provided by re-computing partitions
- Driver program controls the executors and provides code closures
- Lazy evaluation: All computation is deferred until needed by actions
- Higher-level APIs enable SQL, streaming and machine learning
- Interactions with the Hadoop ecosystem
  - Accessing HDFS data
  - Sharing tables with Hive
  - Can use YARN resource management

# Bibliography

- 10 Wikipedia
- 11 HCatalog InputFormat <https://gist.github.com/granturing/7201912>
- 12 <http://spark.apache.org/docs/latest/cluster-overview.html>
- 13 <http://spark.apache.org/docs/latest/programming-guide.html>
- 14 <http://spark.apache.org/docs/latest/api/python/pyspark.sql.html>
- 15 <http://spark.apache.org/docs/latest/graphx-programming-guide.html>
- 17 <https://databricks.com/blog/2015/04/13/deep-dive-into-spark-sqls-catalyst-optimizer.html>
- 18 [http://www.cloudera.com/content/www/en-us/documentation/enterprise/latest/topics/cdh\\_ig\\_running\\_spark\\_on\\_yarn.html](http://www.cloudera.com/content/www/en-us/documentation/enterprise/latest/topics/cdh_ig_running_spark_on_yarn.html)
- 19 <http://spark.apache.org/docs/latest/running-on-yarn.html>
- 20 <http://spark.apache.org/examples.html>
- 21 <http://blog.cloudera.com/blog/2015/03/how-to-tune-your-apache-spark-jobs-part-1/>
- 22 <http://spark.apache.org/docs/latest/mllib-guide.html>
- 23 <http://spark.apache.org/docs/latest/mllib-linear-methods.html>
- 24 <http://spark.apache.org/docs/latest/quick-start.html#self-contained-applications>
- 25 <http://spark.apache.org/docs/latest/mllib-clustering.html>
- 26 [https://en.wikipedia.org/wiki/In-memory\\_processing](https://en.wikipedia.org/wiki/In-memory_processing)
- 27 <http://spark.apache.org/docs/latest/sql-programming-guide.html>
- 28 <https://databricks.com/blog/2016/07/14/a-tale-of-three-apache-spark-apis-rdds-dataframes-and-datasets.html>
- 29 <https://databricks.com/blog/2016/01/04/introducing-apache-spark-datasets.html>